

Patent 7

Case No.: 56390US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

CHIU, RAYMOND C.

Application No.:

09/975385

Group Art Unit:

1731

Filed:

October 9, 2001

Examiner:

Fiorilla, Christopher

Title:

METHOD FOR FORMING MICROSTRUCTURES ON A SUBSTRATE USING A

Mold

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents,

P.O. Box 1450, Alexandria, VA 22313-1450 on:

Signed by: Amber Nicholson

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449 citing references submitted for consideration by the Examiner. A copy of each cited reference is also enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

Date

By: Carolyn A. Fischer, Reg. No.: 39,091

Telephone No.: (651) 575-3915

Office of Intellectual Property Counsel 3M Innovative Properties Company Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)

INFORMATION DISCLOSURE PSTATEMENT BY APPLICANT

Ise as many sheets as necessary)

Page 1 of 1

Application Number	09/975385
Filing Date	October 9, 2001
First Named Inventor	Chiu, Raymond C.
Art Unit	1731
Examiner Name	Fiorilla, Christopher
Attorney Case Number	56390US002

U.S. Patent Documents						
Exam.	Cite	Document Number	Publication Date or Issue Date	Name of Patentee	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Init.*	No.	Doc. Number-(Kind Code if Known)	MM-DD-YYYY	or Applicant of Cited Document		
	A1	US				
	A2	US-				
	A3	US-				
	A4	US-				
	A5	US-				
	A6	US-				
	A7	US-				
	A8	US-				
	A9	US-				
	A10	US-		***		
	A11	US-				

Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	Translation
		Ctry. Code	Number-KindCode (If known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	(Check if yes)
	B1	JP	2003-40106	08.12.2000			Abstract
	B2	wo	01/20636	22.03.2001			
	В3	wo	01/30723	03.05.2001			
	В4						
	B5						
	В6						
	В7						

	OTHER DOCUMENTS					
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				
	C1					
	C2					
	СЗ					

_	_				
*	- 1	75	m	 30	۳
	_			 16	

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.